RECEIVED

APR 3 0 2002

Technology Center 2100

2/64

ODS-25

THÉ UNITED STATES PATENT AND TRADEMARK OFFICE

Apolicants

Masood Garahi et al.

Application No.:

09/825,537

Confirmation No.: 9788

Filed

April 2, 2001

For

SYSTEMS AND METHODS FOR PLACING

PARIMUTUEL WAGERS ON FUTURE EVENTS

Group Art Unit :

2164

Examiner

Not yet assigned

RECEIVED

MAY 0 2 2002

Hon. Commissioner for Patents

P.O. Box 2327

Arlington, Virginia 22202

GROUP 3600

TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- [] within three months of the application filing date;
- more than three months from the application [X]filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit

Account No. 06-1075. A duplicate copy of this letter is **RECEIVED** transmitted herewith.

APR 3 0 2002

Respectfully submitted,

Technology Center 2100

James A. Leiz

Registration No. 46,109

Agent for Applicants

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas

New York, New York 10020-1104

Tel.: (212) 596-9000

T Hereby Certify that this
Corve your he is Laing
Fee head which the Vis.
Privite Service as hat
Cales bail of As Privipe
Addicate of ASSISTANT
Constitute Post
Interver P

RECEIVED

APR 3 0 2002

Technology Center 2100

ODS-25

APR 2 6 2002 U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants :

Masood Garahi et al.

Application No.:

09/825,537

Confirmation No. 9788

Filed

April 2, 2001

For

SYSTEMS AND METHODS FOR PLACING PARIMUTUEL

WAGERS ON FUTURE EVENTS

Group Art Unit :

2164

Examiner

Not yet assigned

Hon. Commissioner for Patents

P.O. Box 2327

Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S. Patent Documents

3,909,002	Levy	09/30/75
4,033,588	Watts	07/05/77
4,108,361	Krause	08/22/78
4,322,612	Lange	03/30/82
4,339,798	Hedges et al.	07/13/82
4,372,558	Shimamoto et al.	02/08/83
4,494,197	Troy et al.	01/15/85
4,593,904	Graves	06/10/86
4,636,951	Harlick	01/13/87
4,652,998	Koza et al.	03/24/87
4,689,742	Troy et al.	08/25/87
4,694,490	Harvey et al.	09/15/87
4,745,468	Von Kohorn	05/17/88
4,747,600	Richardson	05/31/88



U.S. Patent Documents (Cont'd)

5,178,389 Bentley et al. 01/12/93 5,186,471 Vancraeynest 02/16/93 5,218,631 Katz 06/08/93 5,276,312 McCarthy 01/04/94 5,280,426 Edmonds 01/18/94 5,282,620 Keesee 02/01/94 5,283,734 Von Kohorn 02/01/94 5,286,023 Wood 02/15/94 5,297,802 Pocock et al. 03/29/94 5,326,104 Pease et al. 07/05/94 5,327,485 Leaden 07/05/94 5,333,868 Goldfarb 08/02/94 5,340,119 Goldfarb 08/23/94 5,351,970 Fioretti 10/04/94 5,354,069 Guttman et al. 10/11/94 5,365,575 Katz 11/15/94 5,398,932 Eberhardt et al. 03/21/95 5,403,999 Entenmann et al. 04/04/95 5,417,424 Snowden et al. 05/23/95 5,505,449 Eberhardt et al. 04/09/96	4,760,527 4,764,666 4,815,741 4,882,473 4,922,522 4,926,255 4,926,327 4,965,825 4,965,825 4,969,183 4,996,705 5,007,649 5,034,807 5,054,787 5,057,915 5,083,272 5,096,195 5,096,202 5,112,050 5,119,295	Sidley Bergeron Small Bergeron et al. Scanlon Von Kohorn Sidley Harvey et al. Reese Entenmann et al. Richardson Von Kohorn Richardson Von Kohorn Walker et al. Gimmon Hesland Koza et al. Kapur	07/26/88 08/16/88 03/28/89 11/21/89 05/01/90 05/15/90 10/23/90 11/06/90 02/26/91 04/16/91 07/23/91 10/08/91 10/15/91 01/21/92 03/17/92 03/17/92 05/12/92 06/02/92
5,286,023Wood02/15/945,297,802Pocock et al.03/29/945,326,104Pease et al.07/05/945,327,485Leaden07/05/945,333,868Goldfarb08/02/945,340,119Goldfarb08/23/945,351,970Fioretti10/04/945,354,069Guttman et al.10/11/945,365,575Katz11/15/945,398,932Eberhardt et al.03/21/955,403,999Entenmann et al.04/04/955,417,424Snowden et al.05/23/95	5,186,471 5,218,631 5,276,312 5,280,426 5,282,620	Vancraeynest Katz McCarthy Edmonds Keesee	02/16/93 06/08/93 01/04/94 01/18/94 02/01/94
5,351,970 Fioretti 10/04/94 5,354,069 Guttman et al. 10/11/94 5,365,575 Katz 11/15/94 5,398,932 Eberhardt et al. 03/21/95 5,403,999 Entenmann et al. 04/04/95 5,417,424 Snowden et al. 05/23/95	5,286,023 5,297,802 5,326,104 5,327,485 5,333,868	Wood Pocock et al. Pease et al. Leaden Goldfarb	02/15/94 03/29/94 07/05/94 07/05/94 08/02/94
	5,354,069 5,365,575 5,398,932 5,403,999	Guttman et al. Katz Eberhardt et al. Entenmann et al.	10/04/94 10/11/94 11/15/94 03/21/95 04/04/95

U.S. Patent Documents (Cont'd)

5,608,785	Kasday	03/04/97
5,643,088	Vaughn et al.	07/01/97
5,647,795	Stanton	07/15/97
5,679,077	Pocock et al.	10/21/97
5,683,090	Zeile et al.	11/04/97
5,688,174	Kennedy	11/18/97
5,713,795	Kohorn	02/03/98
5,722,890		03/03/98
	Libby et al.	
5,729,212	Martin	03/17/98
5,746,657	Ueno	05/05/98
5,749,785	Rossides	05/12/98
5,755,621	Marks et al.	05/26/98
5,759,101	Von Kohorn	06/02/98
5,762,552	Vuong et al.	06/09/98
5,772,511	Smeltzer	06/30/98
5,787,156	Katz	07/28/98
5,800,268	Molnick	09/01/98
5,816,917	Kelmer et al.	10/06/98
5,816,919	Scagnelli et al.	10/06/98
5,823,877	Scagnelli et al.	10/20/98
5,823,879	Goldberg et al.	10/20/98
5,830,067	Graves et al.	11/03/98
5,830,069	Soltesz et al.	11/03/98
5,871,398	Schneier et al.	02/16/99
5,910,047		
	Scagnelli et al.	06/08/99
5,954,582	Zach	09/21/99
5,999,808	LaDue	12/07/99
6,001,016	Walker et al.	12/14/99
6,007,426	Kelly et al.	12/28/99
6,024,640	Walker et al.	02/15/00
6,024,641	Sarno	02/15/00
		•
6,030,288	Davis et al.	02/29/00
6,080,062	Olson	06/27/00
6,080,063	Khosla	06/27/00
6,099,408	Schneier et al.	08/08/00
6,102,797	Kail	08/15/00
6,117,011	Lvov	09/12/00
6,117,013	Eiba	09/12/00
6,152,824	Rothschild et al.	11/28/00
6,186,892	Frank et al.	02/13/01
6,203,427	Walker et al.	03/20/01
6,251,016	Tsuda et al.	06/26/01
6,251,017	Leason et al.	06/26/01
6,254,480	Zach	07/03/01
6,257,982	Rider et al.	07/10/01
6,263,054	Haefliger	07/17/01
6,264,560	Goldberg et al.	07/24/01
6,272,223	Carlson	08/07/01
. –		, -,,

U.S. Patent Documents (Cont'd)

6,273,820		Haste, III	08/14/01
2001/0003099	A1	Von Kohorn	06/07/01
2001/0003100	A 1	Yacenda	06/07/01

Foreign Patent Documents

01 05650	T	01/05/00
01-25659	Japan	01/27/89
01-269157	Japan	10/26/89
02-110660	Japan	04/23/90
0 387 046 A2	EPO	09/12/90
02-231671	Japan	09/13/90
0 583 196 A1	EPO	02/16/94
06-325062	Japan	11/25/94
0 673 004 A2	EPO	09/20/95
WO 95/30944	PCT	11/16/95
WO 97/19428	PCT	05/29/97
0 873 772 A1	EPO	10/28/98
0 934 765 A1	EPO	08/11/99

Copies of the aforementioned documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and are attached hereto.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these documents be
(1) fully considered by the Patent and Trademark Office during
examination of this application; and (2) printed on any patent
which may issue on this application. Applicants request that a

copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

James A. Leiz

Registration No. 46,109

Agent for Applicants

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas

New York, New York 10020-1104

Tel.: (212) 596-9000

I Hereby Certify that this Correct indende is being beyonical with the U.S. P. 1701 Cervice as Pirst Class Noil to an Profice Advanced to: ASSISTANT

Anuskaton, VA DADA

Language of Portion Biographic

5

	•			
FORM PTO-1449	U.S. DEPARTMENT OF COM PATENT AND TRADEMARK	MERCE OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
	ENTAL INFORMATION DISCLOS	01, 5 %	APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
31	TATEMENT BY APPLICANTS	APR 2 6 2002	FILING DATE	GROUP

U.S. PATENT DOCUMENTS

		0.3	PATERLI DOCUME	INIO		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,909,002	09/30/75	Levy	273	138A	
	4,033,588	07/05/77	Watts	273	138A	
	4,108,361	08/22/78	Krause	235	375	
	4,322,612	03/30/82	Lange	235		
-	4,339,798	07/13/82	Hedges et al.	364	412	R _n
	4,372,558	02/08/83	Shimamoto et al.	273	238	CEL
	4,494,197	01/15/85	Troy et al.	364	412	APR 3 U 2002
	4,593,904	06/10/86	Graves	273	1E	Charles U 200
	4,636,951	01/13/87	Harlick	364	412	Sy Con VIZ
··	4,652,998	03/24/87	Koza et al.	364	412	- 10, 210.
	4,689,742	08/25/87	Troy et al.	364	412	-00
···	4,694,490	09/15/87	Harvey et al.	380	234	
· · · · · · · · ·	4,745,468	05/17/88	Von Kohorn	358	84	
	4,747,600	05/31/88	Richardson	273	269	
· ·	4,760,527	07/26/88	Sidley	364	412	
	4,764,666	08/16/88	Bergeron	235	380	
,	4,815,741	03/28/89	Small	273	138A	
	4,882,473	11/21/89	Bergeron et al.	235	380	$\frac{\Omega}{\Omega}$
	4,922,522	05/01/90	Scanlon	379	95	□ ≥ 11
	4,926,255	05/15/90	Von Kohorn	358	84	<u> </u>
	4,926,327	05/15/90	Sidley	364	412	
	4,965,825	10/23/90	Harvey et al.	380	233	<u>∪ ≈ </u>
	4,969,183	11/06/90	Reese	379	88	36 27
	4,996,705	02/26/91	Entenmann et al.	379	91	Q t
	5,007,649	04/16/91	Richardson	273	237	
	5,034,807	07/23/91	Von Kohorn	358	84	
	5,054,787	10/08/91	Richardson	273	369	
	5,057,915	10/15/91	Von Kohorn	358	84	
	5,083,272	01/21/92	Walker et al.	364	412	

EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF COMMER PATENT AND TRADEMARK OFF		ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
	NTAL INFORMATION DISCLOSURE		APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
31/	ATEMENT BY APPLICANTS 18 APP	? 2 6 2002	FILING DATE	GROUP

U.S. PATENT DOCUMENTS

	500111-117	1	PATEINI DOCOME			FILING DATE
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
	5,096,195	03/17/92	Gimmon	273	138	
	5,096,202	03/17/92	Hesland	273	237	
	5,112,050	05/12/92	Koza et al.	273	139	
	5,119,295	06/02/92	Kapur	364	412	
	5,178,389	01/12/93	Bentley et al.	273	138	
	5,186,471	02/16/93	Vancraeynest	273	439	REC
	5,218,631	06/08/93	Katz	463	41	CELL
	5,276,312	01/04/94	McCarthy	235	380	PECEIVE APR 3 0 2002
	5,280,426	01/18/94	Edmonds	364	408	Chnolog 200:
	5,282,620	02/01/94	Keesee	273	138	Conto
	5,283,734	02/01/94	Von Kohorn	364	412	210
<u></u>	5,286,023	02/15/94	Wood	273	138	
	5,297,802	03/29/94	Pocock et al.	273	439	
	5,326,104	07/05/94	Pease et al.	273	138	
	5,327,485	07/05/94	Leaden	379	95	
	5,333,868	08/02/94	Goldfarb	273	138	
	5,340,119	08/23/94	Goldfarb	273	439	
	5,351,970	10/04/94	Fioretti	273	439	
	5,354,069	10/11/94	Guttman et al.	273	439	
	5,365,575	11/15/94	Katz	379	92	
	5,398,932	03/21/95	Eberhardt et al.	273	138	
	5,403,999	04/04/95	Entenmann et al.	235	379	
	5,417,424	05/23/95	Snowden et al.	273	138	
	5,505,449	04/09/96	Eberhardt et al.	273	138	
	5,507,489	04/16/96	Reibel et al.	273	138	
	5,518,253	05/21/96	Pocock et al.	273	439	
	5,539,450	07/23/96	Handelman	348	12	
	5,539,822	07/23/96	Lett	380	20	
	5,545,088	08/13/96	Kravitz et al.	463	40	

EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
	NTAL INCODMATION DISCLOCUDE	APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
317	T S 2002	SFILING DATE	GROUP

S
•

EXAMINER	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE
INITIAL	NUMBER					APPROPRIATE
	5,569,083	10/29/96	Fioretti	463	19	
	5,573,244	11/12/96	Mindes	463	26	
	5,575,474	11/19/96	Rossides	463	26	
	5,577,727	11/26/96	Brame et al.	273	139	MECA
	5,586,937	12/24/96	Menashe	463	41	ADD CIVE
	5,608,785	03/04/97	Kasday	379	90 <i>Te</i>	Ch. " # 3 / 2
	5,643,088	07/01/97	Vaughn et al.	463	40	"110/0gv 0 <002
	5,647,795	07/15/97	Stanton	463	1	enter 2
	5,679,077	10/21/97	Pocock et al.	463	19	PECEIVEL Chnology Center 2100
•	5,683,090	11/04/97	Zeile et al.	273	269	
	5,688,174	11/18/97	Kennedy	463	37	
	5,713,795	02/03/98	Kohorn	463	17	
	5,722,890	03/03/98	Libby et al.	463	17	
	5,729,212	03/17/98	Martin	340	870.28	
	5,746,657	05/05/98	Ueno	463	41	
	5,749,785	05/12/98	Rossides	463	25	
	5,755,621	05/26/98	Marks et al.	463	42	
	5,759,101	06/02/98	Von Kohorn	463	40	
	5,762,552	06/09/98	Vuong et al.	463	25	
	5,772,511	06/30/98	Smeltzer	463	17	
	5,787,156	07/28/98	Katz	379	93.13	
	5,800,268	09/01/98	Molnick	463	40	
	5,816,917	10/06/98	Kelmer et al.	463	16	
	5,816,919	10/06/98	Scagnelli et al.	463	25	
	5,823,877	10/20/98	Scagnelli et al.	463	41	
	5,823,879	10/20/98	Goldberg et al.	463	42	
	5,830,067	11/03/98	Graves et al.	463	40	
	5,830,069	11/03/98	Soltesz et al.	463	42	
	5,871,398	02/16/99	Schneier et al.	463	16	

EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF COM PATENT AND TRADEMARK (OFFICE	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
SUPPLEMENTAL INFORMATION DISCLOSURE			APPLICANTS Masood Garahi et al.	CONFIRMATION NO. 9788
STATEMENT BY APPLICANTS		APR 2 5 2002	EFILING DATE SApril 2, 2001	GROUP 2164

U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE
INITIAL	NUMBER					APPROPRIATE
	5,910,047	06/08/99	Scagnelli et al.	463	17	
	5,954,582	09/21/99	Zach	463	25	
T 1	5,999,808	12/07/99	LaDue	455	412	
	6,001,016	12/14/99	Walker et al.	463	42	
	6,007,426	12/28/99	Kelly et al.	463	16	
	6,024,640	02/15/00	Walker et al.	463	17	
	6,024,641	02/15/00	Sarno	463	17	RA
	6,030,288	02/29/00	Davis et al.	463	29	CEN
	6,080,062	06/27/00	Olson	463	42	APR 2 VE
	6,080,063	06/27/00	Khosla	463	42	hnola 2000
	6,099,408	08/08/00	Schneier et al.	463	29	APR 3 0 2002 Thrology Conter 2100
	6,102,797	08/15/00	Kail	463	16	"OF 2100
	6,117,011	09/12/00	Lvov	463	25	
	6,117,013	09/12/00	Eiba	463	41	
	6,152,824	11/28/00	Rothschild et al.	463	42	
	6,186,892	02/13/01	Frank et al.	463	19	
	6,203,427	03/20/01	Walker et al.	463	16	
	6,251,016	06/26/01	Tsuda et al.	463	42	u_ :
	6,251,017	06/26/01	Leason et al.	463	42	-
	6,254,480	07/03/01	Zach	463	17	
	6,257,982	07/10/01	Rider et al.	463	31	
	6,263,054	07/17/01	Haefliger	379	93.13	
	6,264,560	07/24/01	Goldberg et al.	463	42	
	6,272,223	08/07/01	Carlson	380	251	
	6,273,820	08/14/01	Haste, III	463	40	
	2001/0003099 A1	06/07/01	Von Kohorn	463	40	
	2001/0003100 A1	06/07/01	Yacenda	463	41	
						·

EXAMINER

FORM PTO-1449	U.S. DEPARTMENT OF COMMERC PATENT AND TRADEMARK OFFICE	海上	ATTY. DOCKET NO. ODS-25	APPLICATION NO. 09/825,537
SUPPLEMENTAL INFORMATION DISCLOSURE			APPLICANTS Alasood Garahi et al.	CONFIRMATION NO. 9788
STATEMENT BY APPLICANTS		APR 2 6 2002	gLING DATE April 2, 2001	GROUP 2164

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	01-25659	01/27/89	Japan	H04M	11/00	X	
	01-269157	10/26/89	Japan	G06F	15/28	X	
	02-110660	04/23/90	Japan	G06F	15/28	Х	
	0 387 046 A2	09/12/90	EPO	G07F	7/02	,	
	02-231671	09/13/90	Japan	G06F	15/28	Х	
	0 583 196 A1	02/16/94	EPO	H04N	7/173		
	06-325062	11/25/94	Japan	G06F	15/28	Х	
	0 673 004 A2	09/20/95	EPO	G07F	17/32	D _n	
	WO 95/30944	11/16/95	PCT	G06F		<i>\(\tilde{\tilie{\tilde{\tilde{\tilde{\tilde{\tilde{\tilde{\tilde{\tilde{\tilde</i>	EIVED
	WO 97/19428	05/29/97	PCT	G07F	17/32	APP	VED
	0 873 772 A1	10/28/98	EPO	A63F	9/22	hnology Con	2005
	0 934 765 A1	08/11/99	EPO	A63F	9/22	"By Co.	7002

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	×	
	-	